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Attorney Docket No. 3053-040

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

**Applicant(s) :** Lewis S. Ostrover

**Serial No. :** 09/921,044      **Examiner :** NGUYEN, HUY T.

**Filed :** August 2, 2001      **Group Art Unit::** 2616

**For :** APPARATUS FOR GENERATING CONTENT CODES  
FOR AUDIOVISUAL PROGRAMS

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**CERTIFICATE OF MAILING (37 C.F.R. 1.8a)**

I hereby certify that the attached:

1. Information Disclosure Statement (2 pages);
2. Form PTO/SB/08B (1 page);
3. Cited References (3 items)
4. Copy of the Japanese Office Action; and
5. Return Receipt Postcard.

(Along with any paper(s) referred to as being attached or enclosed) and this Certificate of Mailing are being deposited with the United States Postal Service on the date indicated below with sufficient postage as first-class mail in an envelope addressed to the: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Respectfully submitted  
GOTTLIEB, RACKMAN & REISMAN, P.C.

Dated: 06.28.2006

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**SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT**

Sir:

Pursuant to the duty of disclosure under 37 CFR §§ 1.56, 1.97. and 1.98, applicant submits herewith a Supplemental Information Disclosure Statement (IDS) accompanied by the Form PTO-1449 along with the legible copies of the non-US patent documents referenced therein. These prior art references have been cited in the Office Action issued by the Japanese Intellectual Property Office in the counterpart Japanese Patent Application No. 2003-517702 on April 4, 2006 (a copy of the Office Action is attached herewith) and, further, in the Supplementary European Search Report (ESR) issued in the related European Patent Application No. 02 750 314.3-2202 (copy of the ESR is attached herewith).

Since the Patent Office has waved the requirement under 37 CFR § 1.98(a)(2)(I) for submitting a paper copy of each cited US Patents and US Patent Application Publication, enclosed herewith are only the copies of non-US Patent documents referenced in this IDS.

Because the documents submitted herewith are in the English language or accompanied

by the English language abstracts, no concise explanation of their relevancy is required, as pointed out in 37 CFR §1.98 (3)(I).


Inasmuch as each item of information contained in this Information Disclosure Statement was first cited in the above mentioned Office Action and in the ESR not more than three months prior to the filing of this statement, this information is believed to be timely filed under 37 CFR 1.97(c)(e)(1). Accordingly, consideration of the enclosed references is deemed proper, and therefore respectfully requested.

Further, in view of the above, no fee is deemed necessary in connection with the filing of this communication. However, if any fee is required, authorization is hereby given to charge the amount of any such fee to the Deposit Account No.07-1730, Docket No. 3053-040. A duplicate copy of this page is attached for that purpose.

Respectfully submitted  
GOTTLIEB, RACKMAN & REISMAN, P.C.

New York, N.Y.

Dated: June 28, 2006

By:   
Tiberiu Welsz  
Attorney for applicant  
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## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

**(Use as many sheets as necessary)**

Sheet

of

**Complete if Known**

Application Number	09/921,044
Filing Date	August 2, 2001
First Named Inventor	Lewis S. Ostrover
Art Unit	2621
Examiner Name	NGUYEN, HUY THANH
Attorney Docket Number	3053-040

## U. S. PATENT DOCUMENTS

[illegible]

## FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document	Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear	T <sup>6</sup>
		Country Code <sup>3</sup> Number <sup>4</sup> Kind Code <sup>5</sup> (if known)	MM-DD-YYYY			
		JP 11-238071	08.31.1999	TOSHIBA CORP.	See attached OA	
		JP 2001-197431	07.19.2001	SONY CORP.	See attached OA	
		JP 08-018923	01.19.1996	SONY CORP.	See attached OA	

Examiner  
SignatureDate  
Considered

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

*If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.*